



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9 2-19-04  
JOS

In application of:

ELIOT M. CASE et al.

Group Art Unit: 2655

Examiner: Vincent V. Tran

Serial No.: 09/818,968

Filed: March 27, 2001

For: SYSTEM AND METHOD FOR CONVERTING TEXT-TO-VOICE

Attorney Docket No.: 1817 / USW 0620 PUS

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT AND FEE  
UNDER 37 C.F.R. § 1.97(c) AND § 1.17(p)**

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or PTO/SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance, and includes the fee of \$180.00 as set forth under 37 C.F.R. § 1.17(p).

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citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

If the filing date of this application is on or before June 30, 2003, a copy of each reference listed on the attached Forms PTO/SB08A and/or SB08B is included herewith. If this application was filed after June 30, 2003, copies of any cited U.S. patent/application references have not been included. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,  
**ELIOT M. CASE et al.**

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

**Complete if Known**

<div style="text-align: right;">Substitute for Form 1449A/PTO</div> <h2 style="margin-top: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/818,968
				<b>Filing Date</b>	March 27, 2001
				<b>First Named Inventor</b>	Eliot M. Case et al.
				<b>Group Art Unit</b>	2655
				<b>Examiner Name</b>	Vincent V. Tran
				<b>Attorney Docket Number</b>	1817 / USW 0620 PUS
Sheet	1	of	1		

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,692,941		Jacks et al.	09/08/1987	
		5,278,943		Gasper et al.	01/11/1994	
		5,668,926		Karaali et al.	09/16/1997	
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		6,601,030		Syrdal	07/29/2003	

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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